

DUNN & ASSOCIATES

MAY 21 2001

RECEIVED

REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala et al.

Serial No: 09/420,695

Filed: 10/19/1999

- (1) Petition to Correct Inventorship under 37 C.F.R. 1.48 with
Three (3) Declarations
- (1) Transmittal Form
- (1) Fee Transmittal Form for FY 2001
- (1) Check in the amount of \$130.00
- (1) Postcard for acknowledgment.

Our Ref: RPP:156A



REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala et al.
Serial No: 09/420,695
Filed: 10/19/1999

- (1) Petition to Correct Inventorship under 37 C.F.R. 1.48 with
Three (3) Declarations
- (1) Transmittal Form
- (1) Fee Transmittal Form for FY 2001
- (1) Check in the amount of \$130.00
- (1) Postcard for acknowledgment.

Our Ref: RPP:156A US

DUNN AND ASSOCIATES

P. O. BOX 10
NEWFANE, NY 14108

4743

10-2/220

F Assistant Commissioner for Patents


DATE May 7, 2001

undred thirty and 00/100-----

\$ 130.00-----

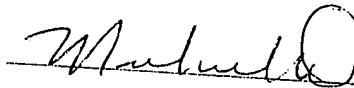
SBC 

DOLLARS

 Security features
included.
Details on back.

C Bank USA Wilson, NY 14172

.56A US
N 09/420,695 Y. Thanavala



MP

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 19

Application Number	09/420,695
Filing Date	10/19/1999
First Named Inventor	Yasmin Thanavala et al.
Group Art Unit	1651
Examiner Name	M. Flood
Attorney Docket Number	RPP:156A US

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☒ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

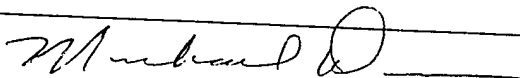
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Michael L. Dunn, Dunn & Associates

Signature



Date

May 8, 2001

CERTIFICATE OF MAILING

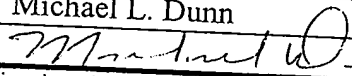
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Michael L. Dunn

May 8, 2001

Signature



Date

May 8, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

Complete if Known

Application Number	09/420,695
Filing Date	10/19/1999
First Named Inventor	Yasmin Thanavala et al.
Examiner Name	M. Flood
Group Art Unit	1651
Attorney Docket No.	RPP:156A US

METHOD OF PAYMENT1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:Deposit
Account
Number

04-1790

Deposit
Account
NameCharge Any Additional Fee Required
Under 37 CFR 1.16, 1.17, 1.18 and 1.20Applicant claims small entity status.
See 37 CFR 1.272. ☒ **Payment Enclosed:**

Check



Credit Card



Money Order



Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
--------------------------	--------------------------	----------	----------	-----------------

101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

\$

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
--------------------------	--------------------------	----------	----------	-----------------

102	80	202	40	Claims in excess of 20
104	270	204	135	Independent claims in excess of 3
109	80	209	40	Multiple dependent claim, if not paid
110	18	210	9	**Reissue independent claims over original patent
				**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examination action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)**130.00****SUBMITTED BY**

Name (Print/Type)

Michael L. Dunn

Registration No.
(Attorney/Agent)

25,330

Complete (if applicable)

Telephone

716-433-1661

Signature

Date

May 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.